

# Recursion

## Lecture 09

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*Adapted partially from Data Structures and Algorithms in Java, M.T. Goodrich, R. Tamassia and M. H. Goldwasser, Sixth Edition, Wiley; Data Structures and Algorithms in C++, Adam Drozdek, 4th Edition, Cengage Learning*



# Recursive Definitions

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- Two parts of a *recursive definition*:
  - *anchor* or *ground case* (also sometimes called the *base case*)
    - establish the basis for all the other elements of the set
  - *inductive clause*
    - establish rules for the creation of new elements in the set
- For example, define the set of **natural numbers**:
  1.  $0 \in \mathbf{N}$  (**anchor**)
  2. if  $n \in \mathbf{N}$ , then  $(n + 1) \in \mathbf{N}$  (**inductive clause**)
  3. there are no other objects in the set  $\mathbf{N}$
  - there may be other definitions



# Recursive Definitions (cont.)

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- Recursive definitions serve two purposes:
  - *generating* new elements
  - *testing* whether an element belongs to a set
- In the case of **testing**
  - **reducing** the problem to an even *simpler* problem
  - and so on
  - until it is reduced to the **anchor** problem (you already have solution for anchor problem!!)
- E.g., is 23 a natural number?
  - $1 + 22, 1 + 1 + 21, 1 + 1 + 1 + 20, \dots$



## Recursive Definitions (cont.)

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- The *recursive definition* of the factorial function, !:

$$n! = \begin{cases} 1 & \text{if } n = 0 \text{ (anchor)} \\ n \cdot (n-1)! & \text{if } n > 0 \text{ (inductive clause)} \end{cases}$$

- So,  $3! = 3 \cdot 2! = 3 \cdot 2 \cdot 1! = 3 \cdot 2 \cdot 1 \cdot 0! = 3 \cdot 2 \cdot 1 \cdot 1 = 6$

undesirable feature: to determine the value of current element ( $s_n$ ), we have to compute the values of all of the previous elements ( $s_1, \dots, s_{n-1}$ )

- Find a formula that is equivalent to the recursive one without referring to previous values

- for factorials, we can use  $n! = \prod_{i=1}^n i$
- frequently non-trivial and often quite difficult to achieve



## Recursive Definitions (cont.)

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- From the standpoint of *computer science*,
  - **recursion** occurs frequently in *language definitions* as well as *programming*
- The translation from specification to code is fairly straightforward;
  - e.g., a factorial function in C++:

```
unsigned int factorial (unsigned int n){  
    if (n == 0)  
        return 1;  
    else return n * factorial (n - 1);  
}
```
- Most modern programming languages incorporate mechanisms
  - support the use of recursion, making it transparent to the user
  - recursion on computers are implemented using the **run-time stack**



# Function Calls and Recursive Implementation

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- What kind of information must we keep track of when a function is called?
  - if the function has **parameters??**
    - need to be initialized to their corresponding arguments
  - where to resume the calling function once the called function is complete
    - **return address**
  - since functions can be called from other functions,
    - keep track of **local variables** for scope purposes
  - don't know in advance how many calls will occur,
    - **stack**, an efficient location to save information
    - e.g., dynamic allocation using the **run-time stack**

# Function Calls and Recursive Implementation

```
1  def f(x,y):
2      x += y
3      print x
4      return x
5
6  def main():
7      n = 4
8      out = f(n,2)
9      print out
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11  main()
```

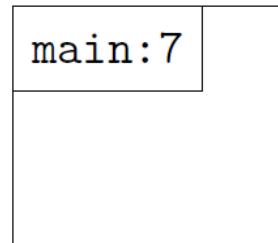
at the beginning, `main` is call

- create a new *stack frame*
- main has no parameters
  - *stack frame* is empty



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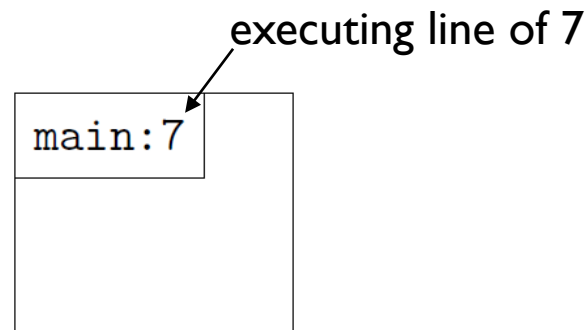
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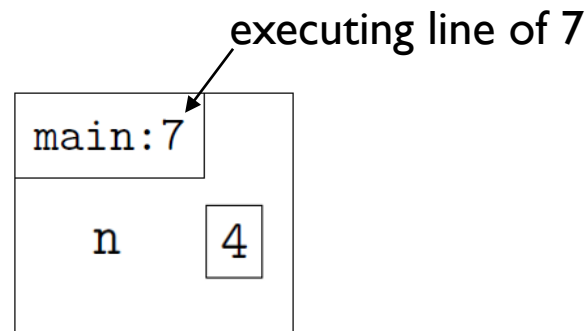


when line of 7 of main is executed

- n is set to 4
- draw a box with a label and put content

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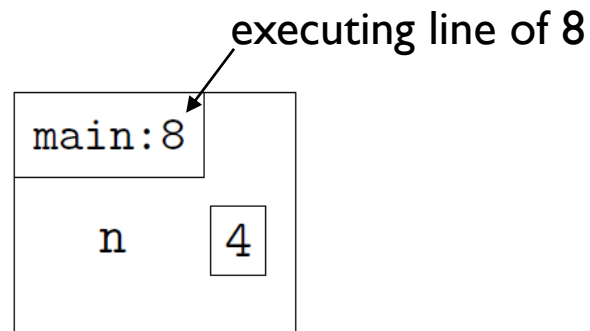


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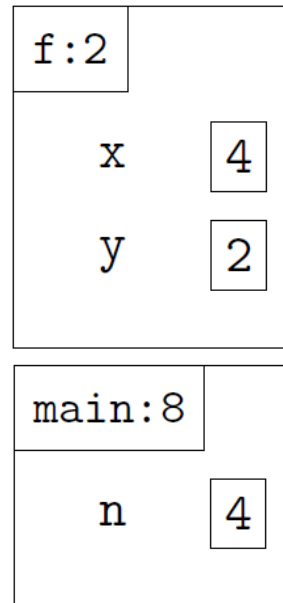


when line of 8 of main is executed

- `f` is called
  - first determine the value of arg, `n`
    - `n` is 4; (2<sup>nd</sup> arg is 2)
- create a new *stack frame* containing arg values

# Function Calls and Recursive Implementation

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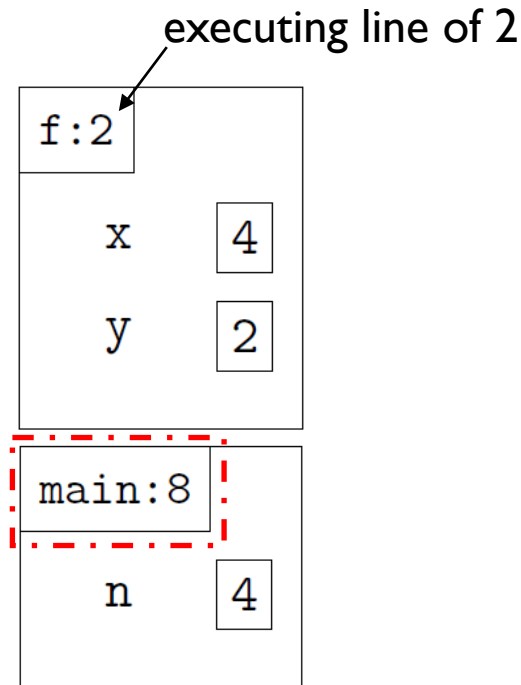


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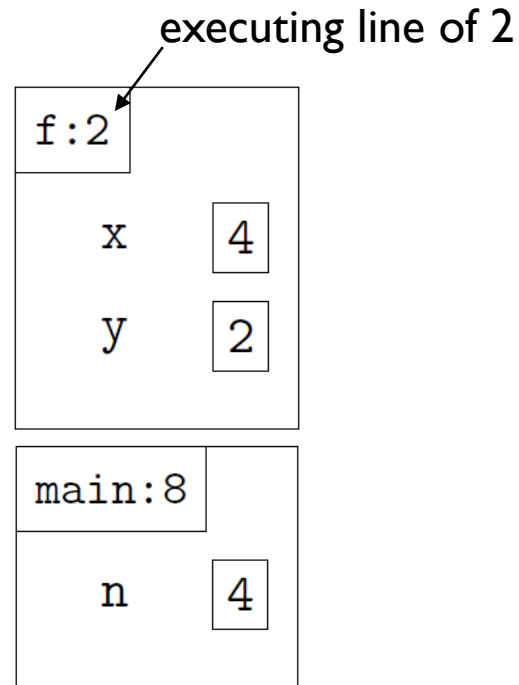


## Note:

- the stack frame for main is keeping track of where we were in that function
  - when f is done, we will return to that line

# Function Calls and Recursive Implementation

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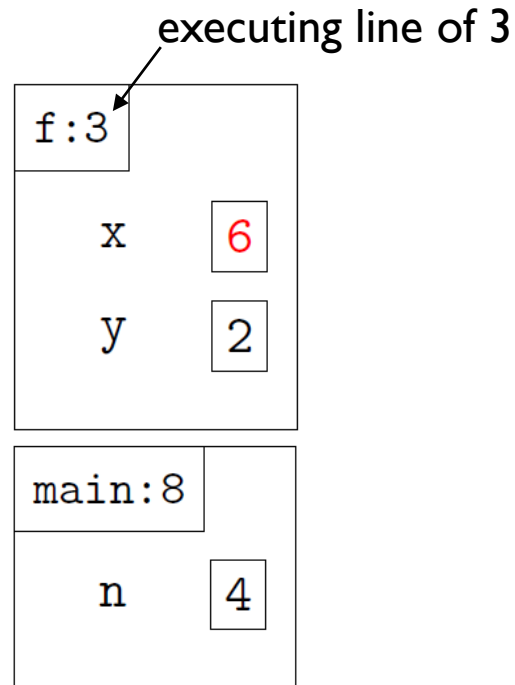


when line of 2 is executed

- update x

# Function Calls and Recursive Implementation

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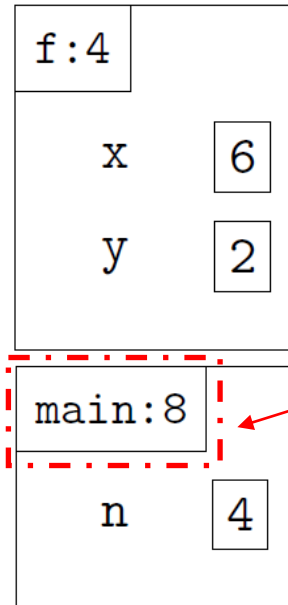


when line of 3 is executed

- print x

# Function Calls and Recursive Implementation

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```



here records where f was called

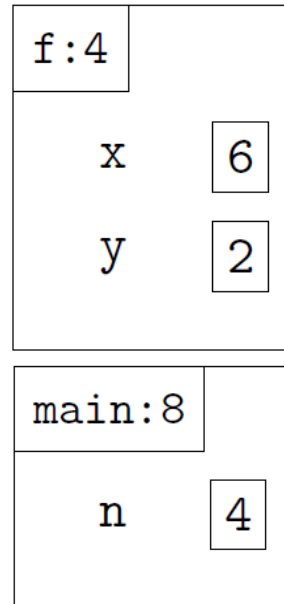
when line of 4 is executed

- return x to the place (line of 8) where f was called
  - out has value 4



# Function Calls and Recursive Implementation

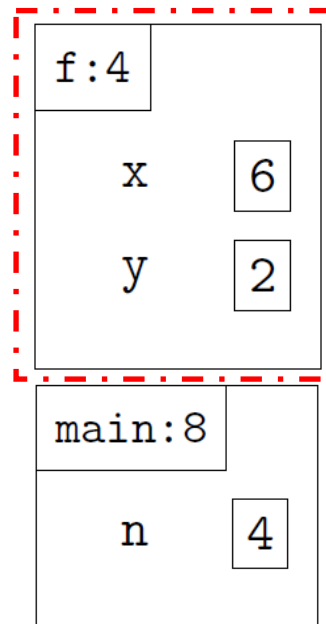
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```



line of 8 is where `f` was called, so this is the place where `f` is supposed to be returned

# Function Calls and Recursive Implementation

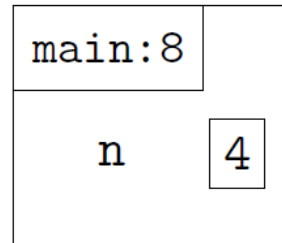
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```



stack frame for `f` is deallocated, because `f` is complete

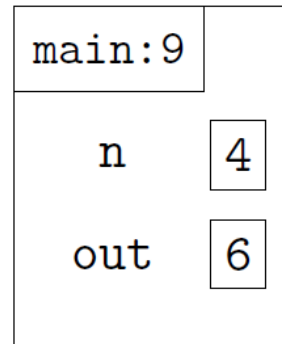
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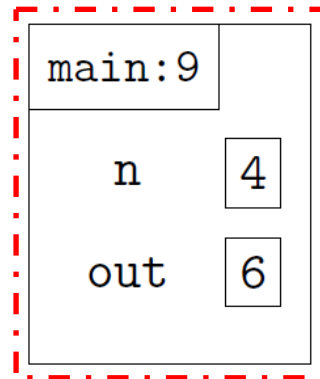


when line of 9 is executed

- print out

# Function Calls and Recursive Implementation

```
1  def f(x,y):
2      x += y
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4      return x
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10
11  main()
```



stack frame for main is deallocated, because main is complete

after executing line of 9

- main is complete; the program is finished



# Function Calls and Recursive Implementation

---

```
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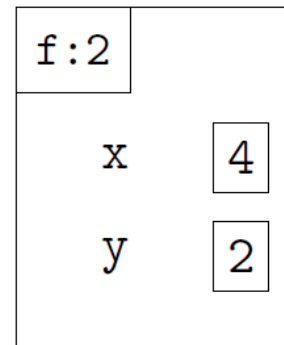
# Function Calls and Recursive Implementation (cont.)

- Characterize the state of a function by a set of information
  - an **activation record** or **stack frame**
- Every time a function is called,
  - its **activation record** is created and placed on the **run-time stack**
  - an **activation record** exists for as long as a function owning it is executing
    - **private pool** of info. for that function
      - storing all info. necessary for function's operation and how to return to where it was called from
    - **short life span**
      - dynamically allocated at function entry
      - dynamically deallocated upon exiting

# Function Calls and Recursive Implementation (cont.)

- The following information stored on the **run-time stack**:
  - values of the function's parameters, addresses of reference variables (including arrays)
  - copies of local variables
  - the return address of the calling function
  - a dynamic link to the calling function's activation record
  - the function's return value if it is not void

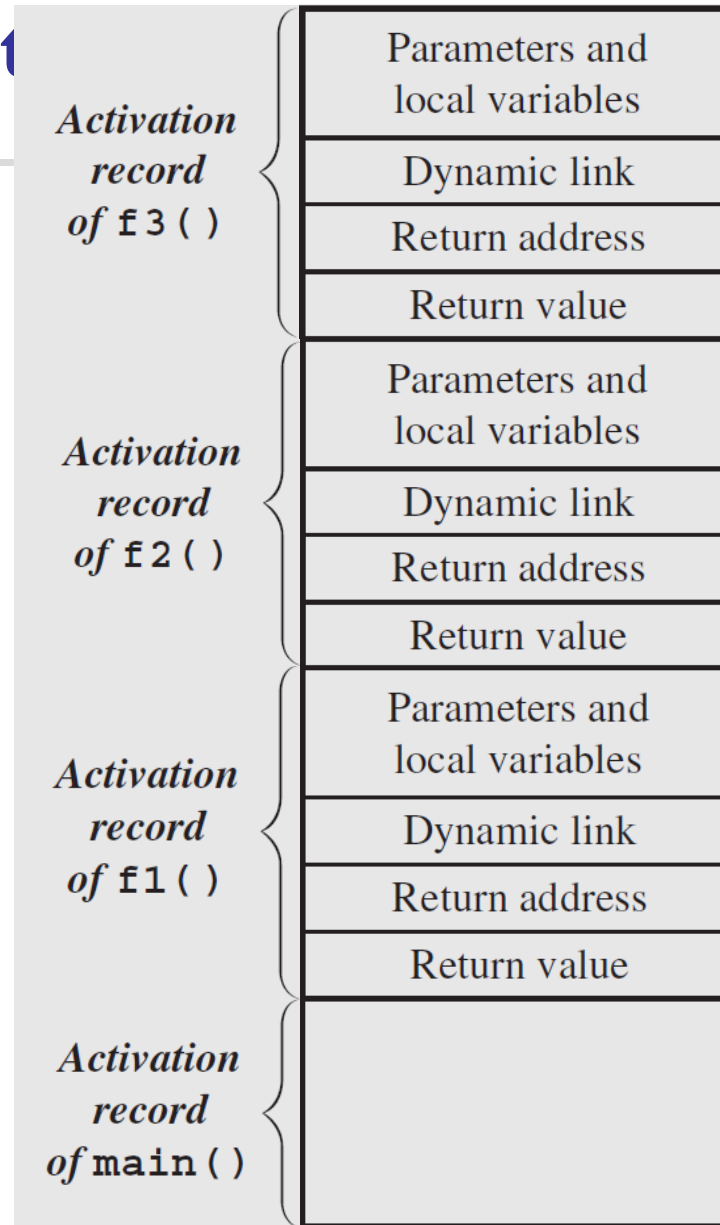
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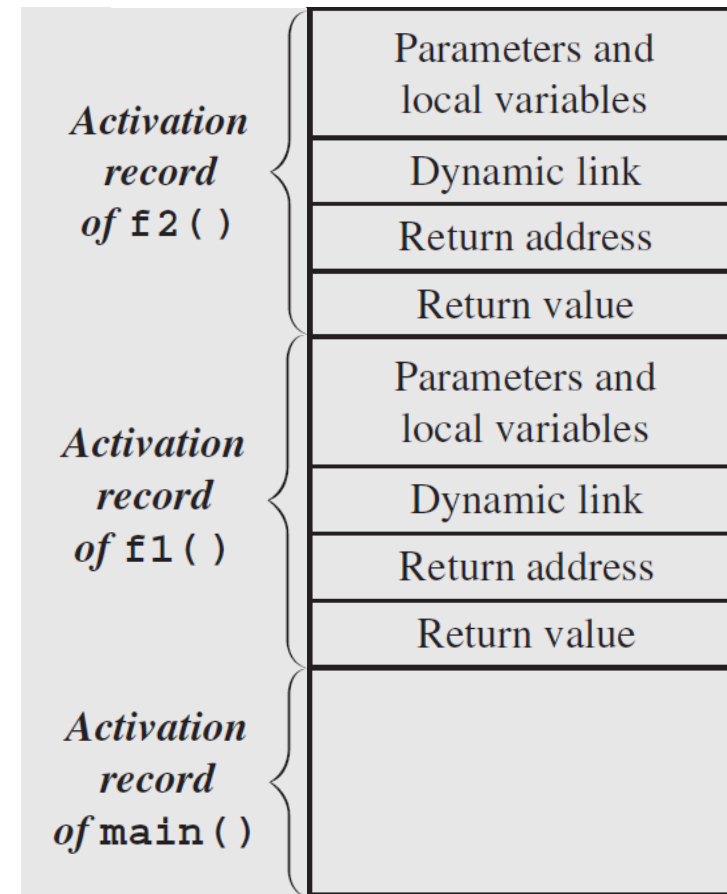
# Function Calls and Recursive Implementation (cont)

- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g., `main() → f1() → f2() → f3()`



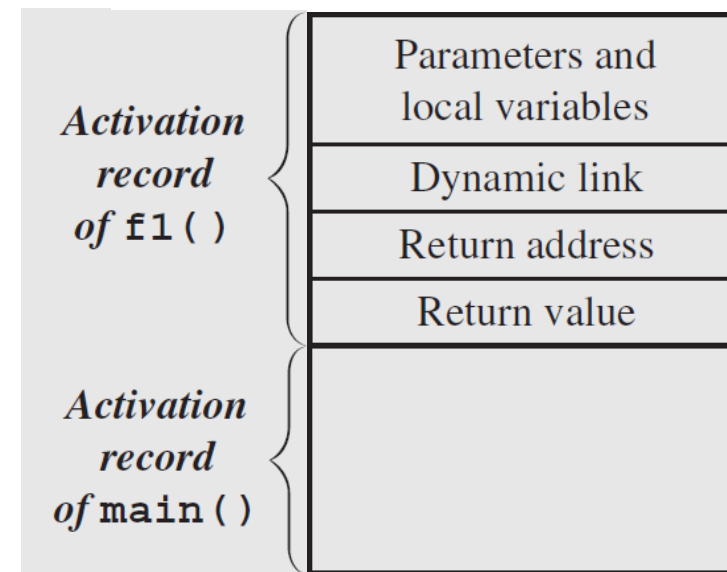
# Function Calls and Recursive Implementation (cont.)

- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g., `main() → f1() → f2() → f3()`
- Once `f3()` completes,
  - its record is **popped**
  - `f2()` can **resume**



# Function Calls and Recursive Implementation (cont.)

- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g., `main() → f1() → f2() → f3()`
- Once `f2()` completes,
  - its record is **popped**
  - `f1()` can **resume**



# Function Calls and Recursive Implementation (cont.)

- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g., `main() → f1() → f2() → f3()`
- Once `f1()` completes,
  - its record is **popped**
  - `main()` can **resume**

*Activation  
record  
of `main()`*





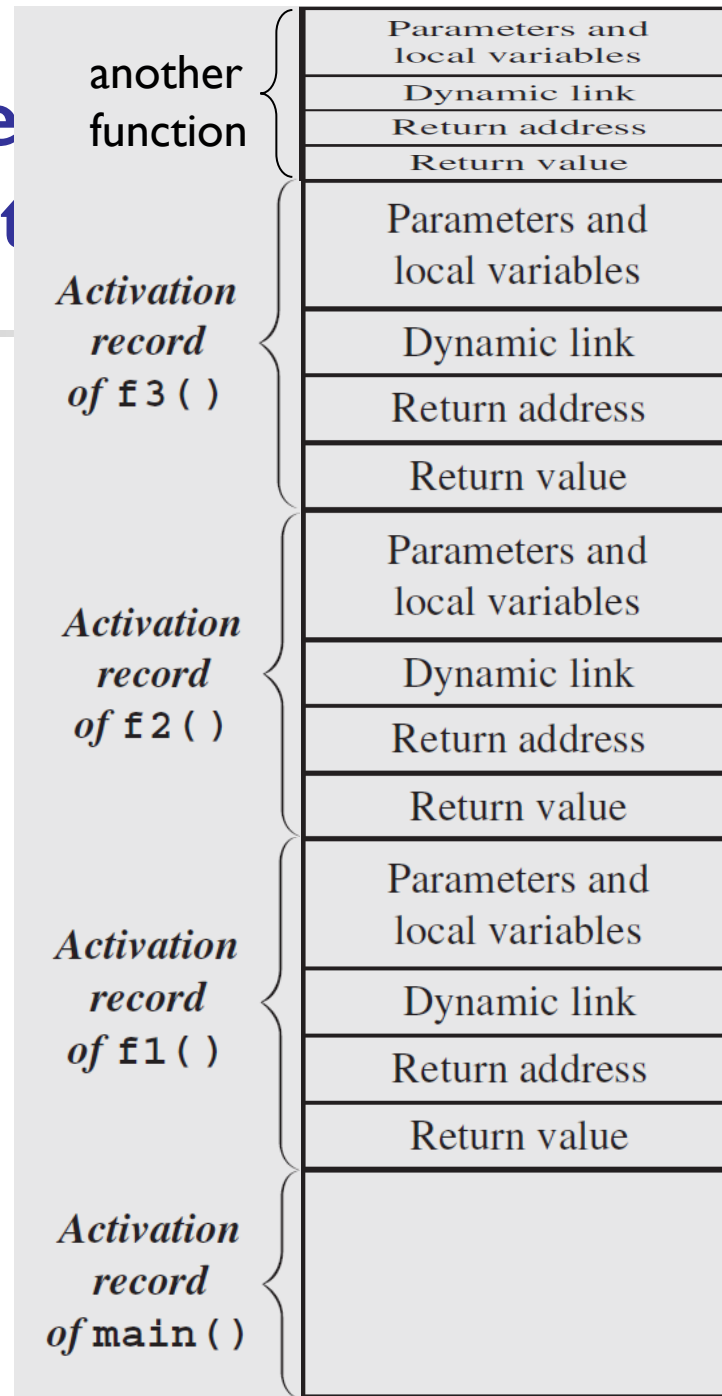
# Function Calls and Recursive Implementation (cont.)

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- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g.,  $\text{main}() \rightarrow \text{f1}() \rightarrow \text{f2}() \rightarrow \text{f3}()$
- Once  $\text{main}()$  completes,
  - its record is **popped**
  - program is finished

# Function Calls and Return Implementation (cont)

- A snapshot of **run-time stack**:
  - always contain the **current state** of the function
- e.g., `main() → f1() → f2() → f3()`
- Once `f3()` completes,
  - its record is **popped**
  - `f2()` can **resume**
- If `f3()` calls another function,
  - the new function has its activation record **pushed** onto the stack
  - `f3()` is **suspended**



# Function Calls and Recursive Implementation (cont.)

- The use of **activation records** on the **run-time stack**
  - allow **recursion** to be implemented and handled correctly
- When *a function calls itself recursively*,
  - **push** a *new* activation record of *itself* on the stack
  - **suspend** the calling instance of the function
  - **allow** the new activation to carry on the process
- A recursive call
  - create a series of activation records for different instances of the **same** function